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151 7590 01/08/2004

HOFFMANN-LA ROCHE INC.  
 PATENT LAW DEPARTMENT  
 340 KINGSLAND STREET  
 NUTLEY, NJ 07110



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Tracie Hibbits	(Depositor's name)
Tracie Hibbits	(Signature)
March 5, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/760,949	01/16/2001	Masami Kohchi	20532	4774

TITLE OF INVENTION: AEROTHRICIN DERIVATIVES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	<del>NO</del> YES	\$430 665	\$300	\$430 965	04/08/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
KAM, CHIH MIN	1653	514-009000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Gibbons, Del Deo,  
 2. Dolan, Griffinger &  
 3. Vecchione

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Basilea Pharmaceutica AG

Switzerland

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee
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(Authorized Signature) William H. Gresh (Date) 3/5/04

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03/11/2004 WASFAW2 00000124 09760949

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